#### ET662465765US

# PERCEPTUAL ENCRYPTION AND DECRYPTION OF MOVIES

P. OSCAR BOYKIN RICCARDO BOSCOLO

- 1 This is a continuation-in-part of an application filed
- 2 October 6, 2000 under Serial No. 09/684,724 and is a
- 3 continuation-in-part of an application filed December 19,
- 4 2000 under Serial No. 09/74,717.

### 5 BACKGROUND OF THE INVENTION

- 6 The invention relates to perceptual encryption of high
- 7 quality compressed video sequences and more particularly to
- 8 perceptual encryption of files of high quality video to
- 9 generate files of restricted video as perceptually encrypted
- 10 encoded data in an MPEG-1 format. The files of restricted
- 11 video can either be decoded and played as restricted video
- 12 or be decrypted, decoded and played as high quality video.
- The MPEG standards determine the encoding and decoding
- 14 conditions of motion pictures in the form of a flow of video
- 15 digital data and a flow of audio digital data. The MPEG

- 1 standards define the encoding conditions of motion pictures,
- 2 whether associated or not with a sound signal, for storing
- 3 in a memory and/or for transmitting using Hertzian waves.
- 4 The MPEG standards also define the encoding conditions of
- 5 the individual picture sequences that form the motion
- 6 picture to be restored on a screen. Digital pictures are
- 7 encoded in order to decrease the amount of corresponding
- 8 data. Encoding generally uses compression techniques and
- 9 motion estimation. The MPEG standards are used to store
- 10 picture sequences on laser compact disks, interactive or
- 11 not, or on magnetic tapes. The MPEG standards are also used
- 12 to transmit pictures on telephone lines.
- U. S. Patent No. 6,205,180 teaches a device which de-
- 14 multiplexes data encoded according to the MPEG standard in
- 15 the form of a data flow including system packets, video
- 16 packets and audio packets. The device independently
- 17 organizes according to the nature (system packets, video
- 18 packets and audio packets) of the data included in the

- 1 packets and the storing of the data in various registers.
- 2 The encoding and decoding conditions as defined by the
- 3 MPEG standards can be obtained from standard organizations.
- 4 The decoding of data encoded according to one of the MPEG
- 5 standards uses a separation of the data included in the data
- 6 flow according to its nature. The video data is separated
- 7 from the audio data, if any, and the audio and video data
- 8 are separately decoded in suitable audio and video decoders.
- 9 The data flow also includes system data. The system data
- 10 includes information relating to the encoding conditions of
- 11 the data flow and is used to configure the video and audio
- 12 decoder(s) so that they correctly decode the video and audio
- 13 data. The separation of the various data included in the
- 14 data flow is done according to their nature. The separation
- 15 is called the system layer. The system, audio and video data
- 16 are separated before the individual decoding of the audio
- 17 and video data.
- 18 There are current technologies for protecting the

- 1 copyright of digital media are based on a full encryption of
- 2 the encoded sequence. Full encryption does not allow the
- 3 user any access to the data unless a key is made available.
- 4 There are alternative approaches to ensure rights
- 5 protection. These approaches are based on "watermarking"
- 6 techniques which aim to uniquely identify the source of a
- 7 particular digital object thanks to a specific signature
- 8 hidden in the bit stream and invisible to the user.
- 9 The distribution of movies for viewing in the home is
- 10 one of the largest industries in the world. The rental and
- 11 sale of movies on videotape is a constantly growing industry
- 12 amounting to over \$15 billion dollars in software sales in
- 13 the United States in 1995. The most popular medium for
- 14 distributing movies to the home is by videotape, such as
- 15 VHF. One reason for the robust market for movies on
- 16 videotape is that there is an established base of
- 17 videocassette recorders in people's homes. This helps fuel
- 18 an industry of local videotape rental and sale outlets

- 1 around the country and worldwide. The VHS videotape format
- 2 is the most popular videotape format in the world and the
- 3 longevity of this standard is assured due to the sheer
- 4 numbers of VHS videocassette players installed worldwide.
- 5 There are other mediums for distributing movies such as
- 6 laser disk and 8 mm tape. In the near future, Digital
- 7 Versatile Disk (DVD) technology will probably replace some
- 8 of the currently used mediums since a higher quality of
- 9 video and audio would be available through digital encoding
- 10 on such a disk. Another medium for distributing movies to
- 11 the home is through cable television networks. These
- 12 networks currently provide pay-per-view capabilities and in
- 13 the near future, direct video on-demand. For the consumer,
- 14 the experience of renting or buying the videotape is often
- 15 frustrating due to the unavailability of the desired titles.
- 16 Movie rental and sales statistics show that close to 50% of
- 17 all consumers visiting a video outlet store do not find the
- 18 title that they desire and either end up renting or buying

- 1 an alternate title or not purchasing anything at all. This
- 2 is due to the limited space for stocking many movie titles
- 3 within the physical confines of the store. With limited
- 4 inventory, video stores supply either the most popular
- 5 titles or a small number of select titles. Increasing the
- 6 inventory of movie titles is in direct proportion to the
- 7 shelf capacity of any one video-store. Direct video
- 8 distribution to the home is also limited by the availability
- 9 of select and limited titles at predefined times. Pay-per-
- 10 view services typically play a limited fare of titles at
- 11 predefined times offering the consumer a very short list of
- 12 options for movie viewing in the home. Video on-demand to
- 13 the home is limited by the cable television head end
- 14 facilities in its capacity to store a limited number of
- 15 titles locally. All of the aforementioned mechanisms for
- 16 distributing movies to the consumer suffer from inventory
- 17 limitations. An untapped demand in movie distribution
- 18 results if the inventory to the consumer can be made large

- 1 enough and efficient enough to produce movies-on-demand in
- 2 the format which the consumer desires. There is a need for
- 3 the ability to deliver movies on-demand with a virtually
- 4 unlimited library of movies on any number of mediums such as
- 5 VHS videotape, 8 mm videotape, recordable laser disk or DVD.
- 6 Some systems have addressed the need for distribution of
- 7 digital information for local manufacturing, sale and
- 8 distribution.
- 9 U. S. Patent No. 5,909,638 teaches system which
- 10 captures, stores and retrieves movies recorded in a video
- 11 format and stored in a compressed digital format at a
- 12 central distribution site. Remote distribution locations
- 13 are connected through fiber optic connections to the central
- 14 distribution site. The remote sites maybe of one of two
- 15 types: a video retail store or a cable television (CATV)
- 16 head end. In the case of a video retail store VHS videotapes
- 17 or any other format videotapes or other video media may be
- 18 manufactured on-demand in as little as three to five minutes

- 1 for rental or sell-through. In a totally automated
- 2 manufacturing system the customers can preview and order
- 3 movies for rental and sale from video kiosks. The selected
- 4 movie is either retrieved from local cache storage or
- 5 downloaded from the central distribution site for
- 6 manufacturing onto either a blank video-tape or a reused
- 7 videotape. One feature of the system is the ability to
- 8 write a two-hour videotape into a Standard Play (SP) format
- 9 using a high-speed recording device. A parallel compression
- 10 algorithm which is based on the MPEG-2 format is used to
- 11 compress a full-length movie into a movie data file of
- 12 approximately four gigabytes of storage. The movie data
- 13 file can be downloaded from the central site to the remote
- 14 manufacturing site and written onto a standard VHS tape
- 15 using a parallel decompression engine to write the entire
- 16 movie at high speeds onto a standard VHS videotape in
- 17 approximately three minutes.
- U. S. Patent No. 5,793,980 teaches an audio-on-demand

- 1 communication system which provides real-time playback of
- 2 audio data transferred via telephone lines or other
- 3 communication links. One or more audio servers include
- 4 memory banks which store compressed audio data. At the
- 5 request of a user at a subscriber PC, an audio server
- 6 transmits the compressed audio data over the communication
- 7 link to the subscriber PC. The subscriber PC receives and
- 8 decompresses the transmitted audio data in less than real-
- 9 time using only the processing power of the CPU within the
- 10 subscriber PC. High quality audio data compressed according
- 11 to loss-less compression techniques is transmitted together
- 12 with normal quality audio data. Meta-data, or extra data,
- 13 such as text, captions, still images, etc., is transmitted
- 14 with audio data and is simultaneously displayed with
- 15 corresponding audio data. The audio-on-demand system also
- 16 has a table of contents which indicates significant
- 17 divisions in the audio clip to be played and allows the user
- 18 immediate access to audio data at the listed divisions.

- 1 Servers and subscriber PCs are dynamically allocated based
- 2 upon geographic location to provide the highest possible
- 3 quality in the communication link.
- U. S. Patent No. 5,949,411 teaches a system which
- 5 previews movies, videos and music. The system has a host
- 6 data processing network connected via modem with one or more
- 7 media companies and with one or more remote kiosks to
- 8 transmit data between the media companies and the kiosks. A
- 9 user at a remote kiosk can access the data. A touch screen
- 10 and user-friendly graphics encourage use of the system.
- 11 Video-images, graphics and other data received from the
- 12 media companies are suitably digitized, compressed and
- 13 otherwise formatted by the host for use at the kiosk.
- 14 This enables movies, videos and music to be previewed at
- 15 strategically located kiosks. The data can be updated or
- 16 changed, as desired, from the host.
- U. S. Patent No. 6,038,316 teaches an encryption module
- 18 and a decryption module for enabling the encryption and

- 1 decryption of digital information. The encryption module
- 2 includes logic for encrypting with a key the digital
- 3 information and distributing the digital information. The
- 4 decryption module includes logic for the user to receive the
- 5 key. The decryption logic then uses the key to make the
- 6 content available to the user.
- 7 U. S. Patent No. 6,097,843 teaches a compression
- 8 encoder which encodes an inputted image signal in accordance
- 9 with the MPEG standard. The compression and decompression
- 10 different is from a main compression encoding which is
- 11 executed by a motion detection/compensation processing
- 12 circuit, a discrete cosine transforming/quantizing circuit,
- 13 and a Huffman encoding circuit. The compression and
- 14 decompression are executed by a signal compressing circuit
- 15 and a signal decompressing circuit. By reducing an amount
- 16 of information that is written into a memory provided in
- 17 association with the compression encoding apparatus, a
- 18 necessary capacity of the memory can be decreased.

- U. S. Patent No. 6,064,748 teaches an apparatus for
- 2 embedding and retrieving an additional data bit-stream in an
- 3 embedded data stream, such as MPEG. The embedded data is
- 4 processed and a selected parameter in the header portion of
- 5 the encoded data stream is varied according to the embedded
- 6 information bit pattern. Optimization of the encoded data
- 7 stream is not significantly affected. The embedded
- 8 information is robust in that the encoded data stream would
- 9 need to be decoded and re-encoded in order to change a bit
- 10 of the embedded information. As relevant portions of the
- 11 header are not scrambled to facilitate searching and
- 12 navigation through the encoded data stream, the embedded
- 13 data can generally be retrieved even when the encoded data
- 14 stream is scrambled.
- U. S. Patent No. 6,115,689 teaches an encoder and a
- 16 decoder. The encoder includes a multi-resolution transform
- 17 processor, such as a modulated lapped transform (MLT)
- 18 transform processor, a weighting processor, a uniform

- 1 quantizer, a masking threshold spectrum processor, an
- 2 entropy encoder and a communication device, such as a
- 3 multiplexor (MUX) for multiplexing (combining) signals
- 4 received from the above components for transmission over a
- 5 single medium. The decoder includes inverse components of
- 6 the encoder, such as an inverse multi-resolution transform
- 7 processor, an inverse weighting processor, an inverse
- 8 uniform quantizer, an inverse masking threshold spectrum
- 9 processor, an inverse entropy encoder, and an inverse MUX.
- U. S. Patent No. 5,742,599 teaches a method which
- 11 supports constant bit rate encoded MPEG-2 transport over
- 12 local Asynchronous Transfer Mode (ATM) networks. The method
- 13 encapsulates constant bit rate encoded MPEG-2 transport
- 14 packets, which are 188 bytes is size, in an ATM AAL-5
- 15 Protocol Data Unit (PDU), which is 65,535 bytes in size.
- 16 The method and system includes inserting a plurality of
- 17 MPEG-2 transport packets into a single AAL-5 PDU, inserting
- 18 a segment trailer into the ATM packet after every two MPEG

- 1 packets, and then inserting an ATM trailer at the end of the
- 2 ATM packet. MPEG-2 transport packets are packed into one
- 3 AAL-5 PDU to yield a throughput 70.36 and 78.98 Mbits/sec,
- 4 respectively, thereby supporting fast forward and backward
- 5 playing of MPEG-2 movies via ATM networks.
- U. S. Patent No. 6,157,625 teaches in an MPEG transport
- 7 stream, each audio signal packet is placed after the
- 8 corresponding video signal packet when audio and video
- 9 transport streams are multiplexed.
- U. S. Patent No. 6,157,674 teaches an encoder which
- 11 compresses and encodes audio and/or video data by the MPEG-2
- 12 system, multiplexing the same and transmitting the resultant
- 13 data via a digital line. When generating a transport stream
- 14 for transmitting a PES packet of the MPEG-2 system, the
- 15 amounts of the compressed video data and the compressed
- 16 audio data are defined as whole multiples of the amount of
- 17 the transport packet (188 bytes) of the MPEG-2 system,
- 18 thereby to bring the boundary of the frame cycle of the

- 1 audio and/or video data and the boundary of the transport
- 2 packet into coincidence.
- 3 U. S. Patent No. 6,092,107 teaches a system which
- 4 allows for playing/browsing coded audiovisual objects, such
- 5 as the parametric system of MPEG-4.
- 6 The inventors incorporate the teachings of the above-
- 7 cited patents into this specification.

#### 8 SUMMARY OF THE INVENTION

- 9 The present invention is generally directed to an
- 10 encoder and decoder. The encoder encodes a file of a high
- 11 quality video data in order to generate a file of video data
- 12 as encoded data. The decoder decodes the file of video data
- 13 as encoded data in order to regenerate the file of high
- 14 quality video data.
- In a first separate aspect of the present invention, a
- 16 perceptual encryption module perceptually encrypts the
- 17 encoded data to generate restricted video data as
- 18 perceptually encrypted encoded data.

- In a second separate aspect of the present invention, a
- 2 decryption module decrypts the perceptually encrypted
- 3 encoded data to generate encoded data.
- 4 Other aspects and many of the attendant advantages will
- 5 be more readily appreciated as the same becomes better
- 6 understood by reference to the drawing and the following
- 7 detailed description.
- 8 The features of the present invention which are
- 9 believed to be novel are set forth with particularity in the
- 10 appended claims.

## 11 DESCRIPTION OF THE DRAWINGS

- Fig. 1 is a schematic drawing of the architecture of an
- 13 MPEG-1 program undergoing perceptual encryption to generate
- 14 a perceptually encrypted MPEG-1 stream according to the
- 15 present invention.
- 16 Fig. 2 is a schematic drawing of a diagram showing an
- 17 original video packet containing high fidelity video being
- 18 transformed into a new video packet containing low-fidelity

- 1 video data and an ancillary data containing encrypted
- 2 refinement data of Fig. 1 using an encrypton module and a
- 3 key.
- Fig. 3 is a schematic drawing of a diagram showing
- 5 sequences of luminance and chrominance blocks in the 4:2:0
- 6 video format which are used in MPEG-1.
- 7 Fig. 4 is a schematic drawing of flow chart of the DCT
- 8 of the 8x8 block coefficients of the original video packet
- 9 of Fig. 2.
- 10 Fig. 5 is a schematic diagram of the 8x8 block
- 11 coefficients of the original video packet of Fig. 2 which is
- 12 divided into the low-fidelity video data and the ancillary
- 13 data.
- 14 Fig. 6 is a block diagram of perceptual encryption.
- Fig. 7 is a schematic drawing of a standard MPEG-1
- 16 player which plays the perceptually encrypted MPEG-1 stream
- 17 of Fig. 1 as low fidelity video.
- Fig. 8 is a schematic drawing of a standard MPEG-1

- 1 player which has a decryption module which with the use of
- 2 the key of Fig. 1 plays the perceptually encrypted MPEG-1
- 3 stream of Fig. 1 as high fidelity video according to the
- 4 present invention.
- 5 Fig. 9 is a block diagram of perceptual decryption.
- 6 DESCRIPTION OF THE PREFERRED EMBODIMENT
- Referring to Fig. 1 in conjunction with Fig. 2 an MPEG-
- 8 1 program 10 includes multiplexed system packets 11, audio
- 9 packets 12 and video packets. The MPEG-1 program 10 is
- 10 encoded. The perceptual encryption system 20 includes a de-
- 11 multiplexing module 21, a system data buffer 22, an audio
- 12 data buffer 23, a video data buffer 24 and a multiplexing
- 13 module 25. The system data buffer 22, the audio data buffer
- 14 23 and the video data buffer 24 are coupled to the de-
- 15 multiplexing module 21. The multiplexing module 25 is
- 16 coupled to the system data buffer 22 and the audio data
- 17 buffer 23. The perceptual encryption system 20 also
- 18 includes a system data buffer 26,a main buffer 27, an

- 1 ancillary data buffer 28 and an encryption module 29 with a
- 2 key. The encryption module 29 is coupled to the ancillary
- 3 data buffer 28. U. S. Patent No. 6,038,316 teaches an
- 4 encryption module. The encryption module with a key enables
- 5 encryption of digital information. The encryption module
- 6 includes logic for encrypting the digital information and
- 7 distributing the digital information. U. S. Patent No.
- 8 6,052,780 teaches a digital lock which is encrypted it with
- 9 some n-bit key. In the case of a DES device the block size
- 10 is 64 bits and the key size is 56 bits. U. S. Patent No.
- 11 4,731,843 teaches a DES device in a cipher feedback mode of
- $12\,$  k bits. The output of the multiplexing module  $25\,$  is a
- 13 perceptually encrypted MPEG-1 Program 30. The perceptually
- 14 encrypted an MPEG-1 program 30 includes multiplexed system
- 15 packets 11, audio packets 12 and low fidelity video packets
- 16 31 and refinement bit stream 32.
- The overall architecture for perceptual encryption
- 18 includes a stream of the MPEG-1 program 10. The MPEG-1

- 1 program 10 is de-multiplexed, separating the system packets
- 2 11, the audio packets 12 and the audio packets 13. The
- 3 system packets 11 and the audio packets 12 are buffered in
- 4 the system data buffer 22 and the audio data buffer 23,
- 5 respectively, and transferred to the multiplexing module 25.
- Referring to Fig. 1 the encoding strategy consists in
- 7 separating the spectral contained in the video sequence
- 8 across a first video sub-packet 41 and a second video sub-
- 9 packet 42. The second video sub-packet 42 containing the
- 10 refinement (high frequency) data is encrypted. To a decoder
- 11 the non-encrypted first video sub-packet 41 will appear as
- 12 the original video packet 13. The encrypted second video
- 13 sub-packet 42 is inserted in the stream as padding data.
- 14 This operation can be performed both in the luminance as
- 15 well as in the chrominance domain in order to generate a
- 16 variety of encoded sequences with different properties. It
- 17 is possible to build a video sequence where the basic low-
- 18 fidelity mode gives access to a low-resolution version of

- 1 the video sequence. The user is granted access to the full-
- 2 resolution version when he purchases the key. Perceptual
- 3 encryption is applicable to most video encoding standards,
- 4 since most of them are based on separation of the color
- 5 components (RGB or YCbCr) and use spectral information to
- 6 achieve high compression rates.
- 7 Perceptual encryption allows simultaneous content
- 8 protection and preview capabilities. It is safer than
- 9 watermarking since it prevents intellectual property rights
- 10 infringement rather than trying to detect it after the fact.
- 11 Perceptual encryption is applied to video encoded under the
- 12 MPEG-1 compression standard. The use of perceptual
- 13 encryption is not limited to this specific standard. It is
- 14 applicable to a large ensemble of audio/video compression
- 15 standards, including MPEG-2, MPEG-4, MPEG-21, MPEG-7,
- 16 QuickTime, Real Time, AVI, Cine Pak and others.
- 17 Referring to Fig. 3 an 8x8 pixel image area represents
- 18 the basic encoded unit in the MPEG-1 standard. Each pixel

- 1 is described by a luminance term (Y) and two chrominance
- 2 terms (Cb and Cr). The only video format which the MPEG-1
- 3 standard supports is the 4:2:0 format. The chrominance
- 4 resolution is half the luminance resolution both
- 5 horizontally and vertically. As a consequence compressed
- 6 data always presents a sequence of four luminance blocks
- 7 which are followed by two chrominance blocks.
- Referring to Fig. 4 a flow chart of the transformation
- 9 from an 8x8 region to 8x8 DCT of each component is computed
- 10 thereby returning 64 coefficients per component. The
- 11 coefficients of each component are sorted in order of
- 12 increasing spatial frequency.
- Referring to Fig. 5 in conjunction with Fig. 6 as the
- 14 input bit stream is being parsed, a video packet 13 is
- 15 identified and its 8x8 DCT coefficients are selectively sent
- 16 to either a main buffer 27 or an ancillary buffer 28 in
- 17 order to generate the low-resolution data for the main video
- 18 packet 31 or the ancillary data for the refinement bit

- 1 stream 32, respectively. The parameters MaxYCoeffs,
- 2 MaxCbCoeffs and MaxCrCoeffs allow the content provider to
- 3 select the maximum number of Y, Cb and Cr coefficients,
- 4 respectively, to be retained in the original bit stream. As
- 5 soon as the maximum number of coefficients in the main video
- 6 packet 31 for a given component is reached, an end-of-block
- 7 (EOB) code is appended to signal the end of the current
- 8 block. This is a crucial step since the Huffman encoded 8x8
- 9 blocks do not present any start-of-block marker and the EOB
- 10 sequence is the only element signaling the termination of
- 11 the compressed block and the beginning of the next. There
- 12 are two different types of 8x8 data blocks encountered in
- 13 the MPEG-1 standard. The first type occurs in I-pictures,
- 14 which consist of frames where no motion prediction occurs.
- 15 In these frames each 8x8 image region is compressed using a
- 16 modified JPEG algorithm and the DCT of each of the
- 17 components is encoded directly (intra-frame compression).
- 18 In P-pictures and B-pictures, instead, one-directional or

- 1 bi-directional motion-compensated prediction takes place to
- 2 exploit the temporal redundancy of the video sequence. In
- 3 these frames either some or all of the 8x8 image blocks are
- 4 estimated from the neighboring frames and the prediction
- 5 error is encoded using a JPEG style algorithm (inter-frame
- 6 compression). Several strategies for applying different
- 7 low-pass filters to intra-coded or inter-coded blocks were
- 8 explored. The optimal solution applies identical low-pass
- 9 filtering to both types of encoded blocks. The theoretical
- 10 explanation of this result resides in the superposition-
- 11 principle. It is a consequence of the fact that the DCT is
- 12 a linear operator.
- Referring to Fig. 6 in conjunction with Fig. 2 once the
- 14 video packet 13 parsing is complete, the first video sub-
- 15 packet 31 which is stored in the main buffer 27 is released
- 16 to the output stream to replace the original video packet
- 17 13. The refinement video sub-packet 32 is encrypted and the
- 18 stored in the ancillary data buffer 28 to be released to the

- 1 output as a padding stream. The function of the padding
- 2 stream is normally that of preserving the current bit rate.
- 3 Since the size of the combined first and second video sub-
- 4 packets 31 and 32 is only slightly larger than the original
- 5 video packet 13 the bit rate of the original sequence is
- 6 preserved and the decoding of the encrypted sequence does
- 7 not require additional buffering capabilities. A heading-
- 8 generator generates a specific padding packet header. The
- 9 padding heading is used to insert the encrypted ancillary
- 10 data 32 into the video stream. This allows full
- 11 compatibility with a standard decoder since this type of
- 12 packet is simply ignored by the decoder. A proprietary 32-
- 13 bit sequence is inserted at the beginning of the ancillary
- 14 data to allow the correct identification of the encrypted
- 15 video sub-packets 32. Moreover since no limit on the size
- 16 of the video packets 13 is imposed with the exception of
- 17 buffering constraints additional data, such as decryption
- 18 information, can be included at any point inside these

- 1 packets.
- 2 In another embodiment perceptual encryption decomposes
- 3 each of the video packet 13 into several sub-packet. The
- 4 first sub-packet provides the essential conformance to the
- 5 standard and contains enough information to guarantee a
- 6 basic low-fidelity viewing capability of the video sequence.
- 7 The first video sub-packet is not subject to encryption.
- 8 Each of the second video sub-packet and all subsequent video
- 9 sub-packets represents a refinement bit stream and, when
- 10 added incrementally, serially enhances the "quality" of the
- 11 basic video packet until a high fidelity video sequence is
- 12 obtained. Each video sub-packet is encrypted and are placed
- 13 back in the bit stream as padding streams. The standard
- 14 MPEG-1 decoder will ignores padding streams.
- The definition of "successive levels of quality" is
- 16 arbitrary and is not limited to a particular one. Possible
- 17 definitions of level of fidelity are associated with, but
- 18 are not restricted to, higher resolution, higher dynamic

- 1 range, better color definition, lower signal-to-noise ratio
- 2 or better error resiliency. The video packets 13 are
- 3 partially decoded and successively encrypted.
- 4 The main idea behind the perceptual encryption is to
- 5 decompose each video packet 13 into at least two video sub-
- 6 packets. The first video sub-packet 31 is the basic video
- 7 packet and provides the basic compliance with the standard
- 8 and contains enough information to guarantee low-fidelity
- 9 viewing capabilities of the video sequence. The first video
- 10 sub-packet 31 is not subjected to encryption and appears to
- 11 the decoder as a standard video packet. The second video
- 12 sub-packet 32 represents a refinement bit stream and is
- 13 encrypted. The refinement bit stream enhances the "quality"
- 14 of the basic video packet and when combined with the first
- 15 video sub-packet 31 is able to restore a full fidelity video
- 16 sequence. The second video sub-packet 32 is encrypted using
- 17 the encryption module 29 and the key. Perceptual encryption
- 18 includes the use of standard cryptographic techniques. The

- 1 encrypted second video packet 32 is inserted in the bit
- 2 stream as padding data and is ignored by the standard MPEG-1
- 3 decoder.
- 4 Perceptual encryption encrypts high quality compressed
- 5 video sequences for intellectual property rights protection
- 6 purposes. The key part of perceptual encryption resides in
- 7 its capability of preserving the compatibility of the
- 8 encrypted bit stream with the compression standard. This
- 9 allows the distribution of encrypted video sequences with
- 10 several available levels of video and audio quality
- 11 coexisting in the same bit stream. Perceptual encryption
- 12 permits the content provider to selectively grant the user
- 13 access to a specific fidelity level without requiring the
- 14 transmission of additional compressed data. The real-time
- 15 encryption for compressed video sequences preserves the
- 16 compatibility of the encrypted sequences with the original
- 17 standard used to encode the video and audio data. The main
- 18 advantage of perceptual encryption is that several levels of

- 1 video quality can be combined in a single bit stream thereby
- 2 allowing selective restriction access to the users. When
- 3 compared to other encryption strategies perceptual
- 4 encryption presents the advantage of giving the user access
- 5 to a "low fidelity" version of the audio-video sequence,
- 6 instead of completely precluding the user from viewing the
- 7 sequence.
- 8 Since perceptual encryption acts on the video packets
- 9 13, as they are made available, encryption can be performed
- 10 in real-time on a streaming video sequence with no delay.
- 11 This result is from the fact that each video packet 13 is
- 12 perceptually encrypted separately and the refinement bit
- 13 streams for a specific video packet are streamed immediately
- 14 following the non-encrypted low fidelity data. This feature
- 15 is very attractive because it makes it suitable for real-
- 16 time on demand streaming of encrypted video. Moreover
- 17 keeping perceptual encryption distributed gives the encoded
- 18 sequences better error resiliency properties, allowing

- 1 easier error correction. In order to keep the overhead
- 2 introduced by perceptual encryption as small as possible, no
- 3 extra information related to the refinement sub-packets is
- 4 added to the video packet header.
- 5 Referring to Fig. 7 a standard MPEG-1 player 110
- 6 includes a de-multiplexing module 111, a system data buffer
- 7 112, an audio data buffer 113, a low fidelity video data
- 8 buffer 114, a refinement bit stream data buffer 115, an
- 9 audio decoder 116, a video decoder 117, a synchronizer 118,
- 10 and a display 119. The system data buffer 112, the audio
- 11 data buffer 113, the low fidelity video data buffer 114 and
- 12 the refinement bit stream data buffer 115 are coupled to the
- 13 de-multiplexing module 111. The synchronizer 118 is coupled
- 14 to the system data buffer 112 and the audio data buffer 113.
- 15 The video decoder 117 is coupled to the low fidelity video
- 16 data buffer 114. The synchronizer 118 is also coupled to
- 17 the video decoder 117. The video decoder 117 may include a
- 18 Huffman decoder and an inverse DCT, motion compensation and

- 1 rendering module. The display 119 is coupled to the inverse
- 2 DCT, motion compensation and rendering module.
- 3 The standard MPEG-1 player 110 performs the input stream
- 4 parsing and de-multiplexing along with all of the rest of
- 5 operations necessary to decode the low fidelity video
- 6 packets including the DCT coefficient inversion, the image
- 7 rendering as well as all the other non-video related
- 8 operations.
- 9 Referring to Fig. 8 in conjunction with Fig. 9 an MPEG-
- 10 1 player 210 includes a de-multiplexing module 211, a system
- 11 data buffer 212, an audio data buffer 213, a low fidelity
- 12 video data buffer 214, a refinement bit stream data buffer
- 13 215, an audio decoder 216, a Huffman Decoder and Perceptual
- 14 Decryptor Plug-in 217, an inverse DCT, motion compensation
- 15 and rendering module 218, a synchronizer 219 and a display
- 16 220. The system data buffer 212, the audio data buffer 213,
- 17 the low fidelity video data buffer 214 and the refinement
- 18 bit stream data buffer 215 are coupled to the de-

- 1 multiplexing module 211. The audio decoder 216 is coupled
- 2 to the audio data buffer 213. The synchronizer 219 is
- 3 coupled to the system data buffer 212 and the audio decoder
- 4 216. The Huffman decoder and perceptual encryptor Plug-I
- 5 217 is coupled to the low fidelity video data buffer 214 and
- 6 the refinement bit stream data buffer 215. The inverse DCT,
- 7 motion compensation and rendering module 218 is coupled to
- 8 the Huffman Decoder and Perceptual Decryptor Plug-in 217.
- 9 The synchronizer 218 is also coupled to the inverse DCT,
- 10 motion compensation and rendering module 218. The display
- 11 220 is coupled to the synchronizer 218. The Huffman decoder
- 12 and Perceptual Encryptor plug-in 217 performs the input
- 13 stream parsing and de-multiplexing for the MPEG-1 player
- 14 210. The MPEG-1 player 210 performs all of the rest of
- 15 operations necessary to decode the low fidelity video
- 16 packets including the DCT coefficient inversion, the image
- 17 rendering, as well as all the other non-video related
- 18 operations. The plug-in may be designed to handle

- 1 seamlessly MPEG-1 sequences coming from locally accessible
- 2 files as well as from streaming video. U. S. Patent No.
- 3 6,038,316 teaches a decryption module. The decryption
- 4 module enables the encrypted digital information to be
- 5 decrypted with the key. The decryption module includes
- 6 logic for decrypting the encrypted digital information. The
- 7 standard MPEG-1 player 210 is coupled to a display 214. The
- 8 plug-in replaces the front-end of the MPEG-1 player and
- 9 performs the input stream parsing and de-multiplexing. The
- 10 plug-in carries on all the operations necessary to decode
- 11 the video packets 31 and 32 and perform decryption.
- 12 Similarly to perceptual encryption decryption acts on one
- 13 video packet at the time. Once the current video packet is
- 14 buffered the system searches for its refinement sub-packets
- 15 that immediately follow the main packet. According to the
- 16 level of access to the video sequence granted to the user,
- 17 the available refinement bit streams are decrypted and are
- 18 combined with the original packet. The fusion of the main

- 1 packet 31 with the refinement sub-packets 32 takes place at
- 2 the block level. In decryption only additional spectral
- 3 information is contained in the refinement data. This
- 4 implementation represents a possible example of definition
- 5 of multiple level of access to the video sequence, but
- 6 decryption is not limited to a particular one.
- 7 The encrypted bit streams contain refinement DCT
- 8 coefficients whose function is to give access to a full-
- 9 resolution high fidelity version of the video sequence. The
- 10 fusion of the original block data with the refinement
- 11 coefficients is possible with minimal overhead using the
- 12 following process. Given an 8x8 image block, the Huffman
- 13 codes of the main packet are decoded until an end-of-block
- 14 sequence is reached. At this point the decrypting module
- 15 211 starts decoding the Huffman codes of the next refinement
- 16 packet, if any is available. The DCT coefficients are then
- 17 appended to the original sequence until the EOB sequence is
- 18 read. Decryption continues until all the refinement packets

- 1 are examined. In the special case of an additional sub-
- 2 packet that does not contain any additional coefficient for
- 3 the given 8x8 block, an EOB code is encountered immediately
- 4 at the beginning of the block, signaling the Huffman Decoder
- 5 and Perceptual Decryptor Plug-in 217 that no further DCT
- 6 coefficients are available.
- 7 In the implementation of decryption for the MPEG-1
- 8 standard player, the encrypted bit streams contain
- 9 refinement DCT coefficients whose function is to give access
- 10 to a full-resolution high fidelity version of the video
- 11 sequence. The fusion of the original block data with the
- 12 refinement coefficients is possible with minimal overhead
- 13 using the following process. Given an 8x8 image block, the
- 14 Huffman codes of the main packet are decoded until an end-
- 15 of-block sequence is reached. At this point the decrypting
- 16 module starts decoding the Huffman codes of the next
- 17 refinement packet, if any is available. The DCT
- 18 coefficients are then appended to the original sequence

- 1 until the EOB sequence is read. Decryption continues until
- 2 all the refinement packets are examined. In the special
- 3 case of an additional sub-packet that does not contain any
- 4 additional coefficient for the given 8x8 block, an EOB code
- 5 is encountered immediately at the beginning of the block,
- 6 signaling the Huffman Decoder and Perceptual Decryptor Plug-
- 7 in 217 that no further DCT coefficients are available.
- 8 Similarly to the perceptual encryption the decryption
- 9 takes place independently on each video packet, allowing
- 10 real-time operation on streaming video sequences. As soon as
- 11 all the refinement sub-packets, following the principal
- 12 packet, are received, decryption can be completed.
- 13 A technology for encrypting high quality compressed video
- 14 sequences for rights protection purposes resides in its
- 15 capability of preserving the compatibility of the encrypted
- 16 bit stream with the compression standard. The technology
- 17 allows the distribution of encrypted video sequences with
- 18 several available levels of video and audio quality

- 1 coexisting in the same bit stream. The technology permits
- 2 to selectively grant the user access to a specific fidelity
- 3 level without requiring the transmission of additional
- 4 compressed data. The technology is a real-time
- 5 encryption/decryption technique for compressed video
- 6 sequences. The technology preserves the compatibility of
- 7 the encrypted sequences with the original standard used to
- 8 encode the video and audio data. The main advantage of the
- 9 technology is that several levels of video quality can be
- 10 combined in a single bit stream allowing selective access
- 11 restriction to the users. When compared to other common
- 12 encryption strategies implementation of the technology
- 13 presents the advantage of giving the user access to a "low
- 14 fidelity" version of the audio-video sequence, instead of
- 15 completely precluding the user from viewing the sequence.
- 16 The description of the technology has focused on the
- 17 MPEG-1 standard in order to provide a detailed description
- 18 of the technology. See ISO/IEC 11172-1:1993 Information

- 1 Technology-Coding of Moving Pictures and Associated Audio
- 2 for Digital Storage Media up to about 1,5 Mbit/s-Part
- 3 1:Systems, Part 2: Video. The scope of technology is not
- 4 limited to this specific standard. The technology is
- 5 applicable to a large ensemble of audio/video compression
- 6 standards. See V. Bhaskaran and K. Konstantinides. Image
- 7 and Video Compression Standards: Algorithms and
- 8 Architectures. Kluwer Academic Publishers, Boston, 1995.
- 9 In the MPEG-1 standard a high compression rate is
- 10 achieved through a combination of motion prediction
- 11 (temporal redundancy) and Huffman coding of DCT (Discrete
- 12 Cosine Transform) coefficients computed on 8x8 image areas
- 13 (spatial redundancy). See J.L. Mitchell, W.B. Pennebaker,
- 14 C.E. Fogg and D.J. LeGall. MPEG Video Compression Standard.
- 15 Chapman & Hall. International Thomson Publishing, 1996. One
- 16 of the most important features of the DCT is that it is
- 17 particularly efficient in de-coupling the image data. As a
- 18 consequence the resulting transformed blocks tend to have a

- 1 covariance matrix that is almost diagonal, with small cross-
- 2 correlation terms. The most relevant feature to the
- 3 technology, though, is that each of the transform
- 4 coefficients contains the information relative to a
- 5 particular spatial frequency. As a consequence cutting part
- 6 of the high frequency coefficients acts as a low-pass filter
- 7 decreasing the image resolution.
- 8 From the foregoing it can be seen that perceptual
- 9 encryption and decryption of movies have been described.
- 10 Accordingly it is intended that the foregoing
- 11 disclosure and drawings shall be considered only as an
- 12 illustration of the principle of the present invention.